I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONE

FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

Box Non-Fee Amendment (Pats)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent Application of Nanning J. Arfsten, et al.

: Group Art Unit: 1775

Appln. No.:

09/437,948

: Examiner: L. Miranda

Filed:

November 10, 1999

For:

NIOBIUM OXIDE-BASED LAYERS

: Attorney Docket No. 275-3

FOR THIN FILM OPTICAL COATINGS

AND PROCESSES FOR PRODUCING THE SAME

<u>AMENDMENT</u>

This Amendment is being filed in response to the Office Action dated August 2,

2000 (Paper No. 5) in the above-identified application. This response is being timely filed.

Please amend the above-identified application, without prejudice, as follows:

In the claims:

Please amend claims 1, 11-12 and 19-20 as follows:

1. (Amended) A thin film optical coating for use on a substrate, having a layer

comprising sol-gel derived niobium oxide, wherein the layer is capable of providing an index of

refraction of at least about 1.90

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